

DECLARATION OF ULTIMATE BENEFICIAL OWNERSHIP INFORMATION

Please provide information on the ultimate beneficial owners (UBO) of your organization in the format provided below:

1. **Legal Name of the Customer:** _____

2. **Registration Number:** _____
(if available)

3. **M-PESA Short Code(s):** _____

(Please tick the correct box)

A. The following **natural person(s)** (listed in **Table below**) exercise control or ultimately have a controlling ownership interest i.e. having ownership/entitlement of **more than 10%** of share capital or controlling through voting rights, agreement, arrangement etc.

OR

B. There are **no natural person(s)** who exercise control or ultimately have a controlling ownership interest of more than 10%.

(*If you have ticked (A) above, please complete the Table below before signing the declaration)

#	Full Name of Beneficial Owner natural person (s)	Identity Card/Pass port Number	Nationality	Date of birth [dd/mm/yyyy]	Position (Chairperson, Treasurer, Secretary or member)	Phone number	Controlling ownership Interest (%)
1							
2							
3							
4							
5							

The organization undertakes to inform **Safaricom PLC** of any change in the information provided in this declaration, promptly and in any event within thirty (30) days of such change.

I / We hereby agree to the contents of this declaration and confirm all details provided therein are valid, effective, and binding and the same may be deemed to be true and correct.



For and on behalf of _____		Witnessed By: Commissioner of Oaths/Notary Public/Company Secretary/Accountant/Auditor
Signature of the Authorised Official*		Signature:
Full Name of the Authorised Official and Designation/Position		Name:
Date:		Date:

(* The declaration should be signed by an active/designated partner in case of Partnership Firm/ LLP, a trustee in case of a Trust, an official in case of Society).

NB:

1. The guidelines for identification of Ultimate Beneficial Owners:
 - (a) **Partnership firms/LLPs:** (i) holds a right to share in at least 10% of the capital contribution or profits (ii) holds at least 10% of the voting rights in the conduct and management of the limited liability partnership whether directly or indirectly (iii) has a right to appoint or remove any partner or a manager or (iv) exercises significant influence or control, directly or indirectly, over the limited liability partnership. "Control" shall include the right to control the management or policy decision.
 - (b) **Societies/Not for Profit Organisations (NGOs):** i) the officials – chairman, treasurer, secretary etc ii) the persons entitled to represent the society/NGO or in charge of its day-to-day management; iii) the individuals or, where they have not yet been designated, the category of individuals in whose interest the society/NGO has been created or operates; and iv) any other individual exercising by other means the ultimate control of the society/NGO.
 - (c) **Registered / Unregistered Trusts:** Settlor / Grantor and All trustees and beneficiaries with 10% or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership. Where no natural person is identified under (a) or (b) the identity of the relevant natural person who holds the position of senior managing official to be captured as Beneficial Owner.
2. This declaration should be certified by a registered lawyer, auditor, or accountant. If the lawyer or accountant is in-house, he or she must be registered with the relevant professional body and registration must be verified (e.g., license number provided).

